

Harvey v. Morgan Stanley Smith Barney LLC
Settlement Administrator
P.O. Box 8060
San Rafael, CA 94912-8060

MGH

Harvey v. Morgan Stanley Smith Barney LLC
UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
Civil Action No. 3:18-cv-02835 WHO

**Must Be Postmarked
No Later Than
February 27, 2023**

VERIFICATION FORM

You are receiving this Verification Form in relation to the class action settlement in the lawsuit of *Harvey v. Morgan Stanley Smith Barney LLC* ("Settlement").

You previously received a Notice of Preliminary Approval of the Class Action Settlement ("Notice"). The Court has ordered that this form be mailed to you in order to determine whether you suffered an "injury" that would entitle you to payment under the Settlement, as set forth in Item 4 below.

TO OBTAIN A PAYMENT AS PREVIOUSLY DESCRIBED IN THE NOTICE, PLEASE COMPLETE THE REQUIRED INFORMATION BELOW, SIGN THE VERIFICATION FORM, AND RETURN THE FORM TO THE ADDRESS BELOW. OR SUBMIT ONLINE AT WWW.MSSBFASETTLEMENT.COM. NOTE: THIS FORM WILL NOT BE VALID WITHOUT YOUR SIGNATURE. THE DEADLINE TO SUBMIT THIS VERIFICATION FORM IS: FEBRUARY 27, 2023.

REQUIRED INFORMATION

BY SIGNING BELOW, I HEREBY CERTIFY AS FOLLOWS:

- I AM THE PERSON IDENTIFIED ABOVE.
- MY CURRENT ADDRESS IS

City

State

ZIP Code

- MY TELEPHONE NUMBER IS

- MY EMAIL ADDRESS IS

- TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF, I INCURRED AT LEAST ONE REASONABLE AND NECESSARY BUSINESS EXPENSE AND WAS NOT REIMBURSED BY MORGAN STANLEY SMITH BARNEY LLC FROM MAY 14, 2014 THROUGH SEPTEMBER 5, 2019.
- BY SIGNING BELOW, YOU ARE VERIFYING THAT THE INFORMATION YOU ARE SUPPLYING IS CORRECT. IF YOU CANNOT CERTIFY THE ABOVE, PLEASE DO NOT SIGN.

Signature: _____ Date (mm/dd/yyyy): _____

Print Name: _____

FILING INSTRUCTIONS

By Mail: *Harvey v. Morgan Stanley Smith Barney LLC* Settlement Administrator, P.O. Box 8060, San Rafael, CA 94912-8060

Email: info@MSSBFAsettlement.com

Electronic: www.MSSBFAsettlement.com

QUESTIONS? Call Toll-Free: 1-866-523-2930; Email: info@MSSBFAsettlement.com; Visit: www.MSSBFAsettlement.com

FOR CLAIMS
PROCESSING
ONLY

OB ☐

CB ☐

☐ DOC
☐ LC
☐ REV

☐ RED
☐ A
☐ B